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In re Application of  
Richard M. Long et al.  
Serial No. 10/754,044

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Number : 10/754,044                      Confirmation Number : 6072  
Applicant : Richard M. Long et al.  
Filed : January 7, 2004  
TC/A.U. : 3653  
Examiner : Unassigned  
Title: Currency Processing Device Having Multiple Stage Transport  
Path And Method For Operating The Same  
Docket Number : 47171-00409USPT  
Customer Number : 41230

**SECOND INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**CERTIFICATE OF MAILING  
37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date indicated below:

October 19, 2004  
Date

Nellie Painter  
(name of sender)

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.98(d), any necessary copies are enclosed. However, in accordance with the August 5, 2003 OG Notice, copies of U.S. patents and U.S. published application references are not required for applications filed after June 30, 2003 and, thus, are not enclosed.

Additionally, all of the remaining references listed on the Form PTO-1449 are located in the Cummins-Allison Library at PK5-5T11; and thus, no copies are enclosed.

Any questions regarding the Cummins-Allison Library, the applicants respectfully request that the PTO Examiner contact one of the following PTO Examiners or the undersigned:

Primary Library Coordinator: Mark Beauchaine      Tel.: (703) 308-6336  
Secondary Library Coordinator: Jeff Shapiro      Tel.: (703) 308-3423  
Supervisory Patent Examiner: Don Walsh      Tel.: (703) 306-3174

In addition to the present assignee's published patent applications listed on the PTO Form 1449, the applicants would like to make the Examiner aware of the following unpublished pending U.S. applications that are being pursued by the assignee of the present application.

| Application No. | Date Filed | Title  | Same or Similar Spec. As |
|-----------------|------------|--|--------------------------|
| 09/655,481      | 09/05/00   | Method and Apparatus for Discriminating and Counting Documents                                     | U.S. Pat. 6,351,551      |
| 10/915,003      | 08/10/04   | Automatic Currency Processing System Having Ticket Redemption Module                               | U.S. Pat. 6,778,693      |
| 10/861,338      | 06/04/04   | Currency Handling Systems Having Multiple Output Receptacles                                       | U.S. Pat. 6,398,000      |
| 09/542,487      | 04/03/00   | Method and Apparatus for Document Processing   | U.S. Pat. 6,311,819      |
| 09/541,170      | 04/03/00   | Method of Using a Two Pocket Currency Evaluation Device  | U.S. Pat. 6,311,819      |
| 09/607,019      | 6/29/00    | Apparatus with Two or More Pockets for Document Processing   | U.S. Pat. 6,311,819      |
| 09/611,279      | 07/06/00   | Method for Document Processing   | U.S. Pat. 6,311,819      |
| 09/684,103      | 10/05/00   | Method and Apparatus for Document Identification and Authentication                                |                          |
| 09/635,967      | 08/10/00   | Method and Apparatus for Document Processing   | U.S. Pat. 6,311,819      |
| 10/755,039      | 1/9/04     | Document Processing Method and System  | U.S. Pat. 6,731,786      |
| 10/769,406      | 1/30/04    | Document Processing System Using Primary and Secondary Pictorial Image Comparison                  |                          |
| 10/903,745      | 07/30/04   | Currency Processing Device, Method and System  |                          |
| 10/662,738      | 09/15/03   | System and Method for Processing Currency and Identification Cards in a Document Processing Device |                          |
| 10/941,688      | 09/15/04   | System and Method for Processing Currency and Identification Cards in a Document Processing Device |                          |
| 10/782,447      | 2/19/04    | Compact Currency Bill and Coin Processing Device   |                          |

| Application No. | Date Filed | Title   | Same or Similar Spec. As |
|-----------------|------------|---|--------------------------|
| 10/890,654      | 7/14/04    | Currency Processing Device Having Multiple Stage Transport Path and Method for Operating the Same |                          |
| 10/682,320      | 10/9/03    | Method and Apparatus for Processing Currency Bills and Coins                                      |                          |
| 10/662,930      | 09/15/03   | System and Method for Searching and Verifying Documents in A Document Processing Device           |                          |
| 10/939,938      | 9/13/04    | System and Method for Processing Batches of Documents   |                          |
| 10/853,021      | 5/25/04    | An Automated Document Processing System Using Full Image Scanning                                 | U.S. Pat. 6,731,786      |

In accordance with 37 C.F.R. §1.98(a)(2)(iii), copies of all the above-referenced unpublished pending U.S. applications together with their corresponding claims are enclosed except for pending applications which have the same or similar specification as a published patent or application as listed in the above table in which case only a copy of the pending claims are enclosed.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. § 1.56.

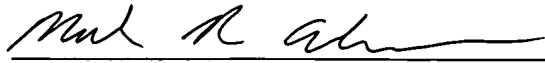
The present Information Disclosure statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits of this application, and is timely in accordance with 37 C.F.R. § 1.97(b). Thus, no fees are due. The Commissioner is

authorized to charge any fee (except the issue fee) while this application is pending to Jenkins  
& Gilchrist Account No. 10-0447/47171-00409USPT.

Respectfully submitted,

October 19, 2004

Date



Mark R. Anderson

Reg. No. 54656

Jenkins & Gilchrist, P.C.

225 West Washington Street, Suite 2600

Chicago, IL 60606-3418

(312) 425-3900

Attorneys for Applicants

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|---|-------------------------------------|--------------------------|
| Form PTO-1449 (modified)  | Atty. Docket No.<br>47171-00409USPT | Serial No.<br>10/754,044 |
| List of Patents and Publications for Applicant's<br><b>INFORMATION DISCLOSURE STATEMENT</b> | Applicant<br>Richard M. Long et al. |                          |
| Page 1 of 3   | Filing Date:<br>January 7, 2004     | Group: 3653              |

### Cummins Published PCT Documents

| Bin-der | Exam. Init. | Ref. Des. | Document Number | Date     | Country             | Class | Sub Class | Translation Yes/No |
|---------|-------------|-----------|-----------------|----------|---------------------|-------|-----------|--------------------|
| I       |             | C-E1      | WO 91/11778     | 08/08/91 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E2      | WO 92/17394     | 10/15/92 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E3      | WO 93/23824     | 11/25/93 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E4      | WO 95/24691     | 09/14/95 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E5      | WO 96/10800     | 04/11/96 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E6      | WO 96/36933     | 11/21/96 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E7      | WO 97/30422     | 08/21/97 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E8      | WO 97/43734     | 11/20/97 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E9      | WO 97/45810     | 12/04/97 | International (PCT) |       |           | Not Applicable     |
| II      |             | C-E10     | WO 98/12662     | 03/26/98 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E11     | WO 98/13785     | 04/02/98 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E12     | WO 98/24052     | 06/04/98 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E13     | WO 98/24067     | 06/04/98 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E14     | WO 98/35323     | 08/13/98 | International (PCT) |       |           | Not Applicable     |
|         |             | C-E15     | WO 98/40839     | 09/17/98 | International (PCT) |       |           | Not Applicable     |

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|---|-------------------------|
| <b>EXAMINER:</b>  | <b>DATE CONSIDERED:</b> |
| EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. |                         |

*INFORMATION DISCLOSURE STATEMENT —PTO-1449 (MODIFIED)*

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| Cummins Published PCT Documents |             |           |                 |          |                     |       |           |                    |
|---------------------------------|-------------|-----------|-----------------|----------|---------------------|-------|-----------|--------------------|
| Bin-der                         | Exam. Init. | Ref. Des. | Document Number | Date     | Country             | Class | Sub Class | Translation Yes/No |
|                                 |             | C-E16     | WO 98/47100     | 10/22/98 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E17     | WO 98/50892     | 11/12/98 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E18     | WO 98/59323     | 12/30/98 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E19     | WO 99/09511     | 02/25/99 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E20     | WO 99/14668     | 03/25/99 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E21     | WO 99/23601     | 05/14/99 | International (PCT) |       |           | Not Applicable     |
| III                             |             | C-E22     | WO 99/41695     | 08/19/99 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E23     | WO 99/48040     | 09/23/99 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E24     | WO 99/48042     | 09/23/99 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E25     | WO 00/24572     | 05/04/00 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E26     | WO 01/08108     | 02/01/01 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E27     | WO 01/59685     | 08/16/01 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E28     | WO 01/59723     | 08/16/01 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E29     | WO 02/29735     | 04/11/02 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E30     | WO 02/054360    | 07/11/02 | International (PCT) |       |           | Not Applicable     |

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| Page 3 of 3   | Filing Date:<br>January 7, 2004     | Group: 3653              |

| Cummins Published PCT Documents |             |           |                 |          |                     |       |           |                    |
|---------------------------------|-------------|-----------|-----------------|----------|---------------------|-------|-----------|--------------------|
| Bin-der                         | Exam. Init. | Ref. Des. | Document Number | Date     | Country             | Class | Sub Class | Translation Yes/No |
|                                 |             | C-E31     | WO 03/005312    | 01/16/03 | International (PCT) |       |           | Not Applicable     |
| III                             |             | C-E32     | WO 03/028361    | 04/03/03 | International (PCT) |       |           | Not Applicable     |
| IV                              |             | C-E33     | WO 03/029913    | 04/10/03 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E34     | WO 03/030113    | 04/10/03 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E35     | WO 03/067532    | 08/14/03 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E36     | WO 03/107282    | 12/24/03 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E37     | WO 04/010367    | 01/29/04 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E38     | WO 04/027717    | 04/01/04 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E39     | WO 04/036508    | 04/29/04 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E40     | WO 04/038631    | 05/06/04 | International (PCT) |       |           | Not Applicable     |
|                                 |             | C-E41     | WO 04/068422    | 08/12/04 | International (PCT) |       |           | Not Applicable     |
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